

<h1>Agenda</h1>		<p>August 22, 2017 10:30 am – 12:30 pm</p> <p>Caltrans 1120 N Street Division of Environmental Analysis Room 4306a Sacramento, CA 95814</p> <p>Contact: Abhijit Bagde (916) 654-3638</p> <p>Teleconference Number: (605) 468-8020 Participant Access Code: 932832#</p>	
		<p>Meeting called by: Muhaned Aljabiry Facilitator: Abhijit Bagde Recorder: Lima Huy</p>	
		Agenda Topics	
Item	Description	Time	Presenter
1	Agenda	10:30	Abhijit Bagde
2	Ground Rules	10:35	Abhijit Bagde
3	Approval of 05/23/2017 Meeting Minutes	10:40	Abhijit Bagde
4	Announcements and Updates: <ol style="list-style-type: none"> Staffing Update – CT/FHWA/FTA/MPOs Volunteer for the CFPG recorder task 2017 Earmark Repurposing (http://www.dot.ca.gov/hq/LocalPrograms/earmark/) 	10:45	Caltrans, FHWA, FTA and MPOs
5	Follow-Up Items from last Meeting: <ol style="list-style-type: none"> Email Abhijit Bagde if interested in taking CFPG meeting minutes FTA to send staffing update to Abhijit Bagde (Completed on 5/23/17) Abhijit Bagde to follow-up with Caltrans Local Assistance for update on HR 244, appropriation bill that contains proposed nationwide rescission (https://www.whitehouse.gov/legislation/hr-244-consolidated-appropriations-act-2017), and inform CFPG (Completed on 08/17/17) 	10:55	Abhijit Bagde
6	FFY 2017-18 Appropriations Bill – Proposed Rescission	11:00	Daniel Burke, CT Local Assistance
7	Phase in requirements for the Planning and Performance Management Regulations under MAP-21 and FAST Act (Handout No. 1)	11:10	Wade Hobbs
8	Active Transportation Program - Update	11:35	Teresa McWilliam, CT Local Assistance
9	Programming of SHOPP projects funded from the SB-1	11:45	Muhaned Aljabiry

10	MAP-21 Performance Management – Update	11:55	Nicholas Deal, CT Planning
11	<ol style="list-style-type: none"> 1. Follow-Up Items 2. Open Forum 3. Future Agenda Items 	12:10	All
12	Future meeting dates and locations: <ul style="list-style-type: none"> • October 10, 2017 SACOG, Sacramento (10:30 am – 12:30 pm) • November 28, 2017 MTC, San Francisco (10:30 am – 12:30 pm) 	12:20	All

California Federal Programming Group (CFPG)

Minutes for: Aug. 22, 2017

1. Agenda:

Abhijit Bagde (Caltrans Division of Programming): The agenda and handouts were sent out last week. No changes requested.

2. Ground Rules:

- Since there are phone participants, everyone who speaks should state his/her name and agency.
- Keep comments as brief as possible.
- Stick to the current agenda item. Additional items not in the agenda will be added to the end and will be discussed if time permits.
- Turn off cell phones and limit interruptions.
- This is a forum to hear everyone's concerns, comments and suggestions. Please make sure your voice is heard.
- Facilitator to ask before moving on to the next item if anyone on the phone has any additional comments on the item, then pause for a few seconds.
- Respond to follow-up items and meeting notices by the deadlines.
- Except for follow-up items, the minutes will include discussions that take place during the meeting only. If you do not want what you say during the meeting included in the minutes, state "off the record."
- **When not speaking, phone participants to keep their phones on mute if possible.**
- **Do not place conference call on hold. Please hang up and redial if you must take another call.**
- Meeting minutes to be distributed to the group with 10 days after the meeting.
- Any outstanding follow-up items not addressed/completed by the third meeting (from the meeting of initiation) will be removed from the agenda.

Abhijit emphasized the items in bold.

3. Minutes of the Last Meeting:

Abhijit Bagde: The final minutes of the May 23, 2017 meeting were approved.

4. Announcements and Updates:

1. Staffing Update

Caltrans - Muhaned Aljabiry (OFTMP): Sadegh Yazdi is now officially on board as Dennis Jacobs replacement

FHWA – Wade Hobbs: Jack Lord's position is still not filled; an updated MPO coordinator assignment list was sent out a while ago.

FTA – Ted Matley: Ted has been promoted as Director of Planning and Program Development (Ray Sukys retired). There are currently 4 FTA vacancies, and one will be filled soon.

STANCOG: Lakshmi Rajagopalan, new Associate Planner to assist in programming

2. Volunteer for the CFPG recorder task – Abhijit reported that no one has volunteered. He asked the group to submit their interest by Friday, Aug 25. If no volunteers, Abhijit will assign an MPO to record minutes. His plan is to rotate note taking amongst MPOs for upcoming CFPG meeting.
3. 2017 Earmark Repurposing

Winton Emmett (HQ Local Assistance) – 2017 earmark repurposing guidance is posted on Caltrans local assistance website. Specifically, fact sheet deadline from Districts to HQ is this Friday. HQ would like to have these Fact Sheets ready by 9/1/2017 to FHWA in order to meet the 9/12/2017 deadline. So far, 9 earmarks have been identified to be repurposed to 6 projects.

For FTIP programming, Abhijit told group to use fund type “Earmark Repurposing” when programming a repurposed project.

Regarding staffing, Ray Zhang is back; John Hoole is also back in the South Implementation Branch. Contact for 2017 repurposing are Winton Emmett and Chris Jensen.

OA Delivery – To date, local projects have used up all current FY’s OA. Possible larger OA share from August Redistribution compared to last year. About \$130million local projects are on hold (waiting for Aug Redistribution). This FY (16/17) local OA was used up by the beginning of Aug compared to late August in prior years.

Inactive Projects – Winton told the group to work with their member agencies to get their projects off the inactive list to avoid rejection by Caltrans and FHWA. This means project sponsors should submit invoices timely and also allow for Local Assistance to process them. Current inactive project listing is about 7%; FHWA recommends 2%).

Jose Luis (SACOG) suggested that Caltrans provide firm RFA deadlines. He also commented that the current Inactive List should provide delivery breakdown by District and identify specific risks.

Winton responded that OA delivery deadlines are as firm as they are for now. And with respect to the inactive projects, the information is already posted on the Local Assistance website. Regions can download, summarize, reformat it to suit their own needs.

5. Follow-up Items from Last Meeting

Caltrans – Abhijit Bagde:

1. Email Abhijit Bagde if interested in taking CFPG meeting minutes – still no volunteers as of this meeting.
2. FTA to send staffing update to Abhijit Bagde

Caltrans – Abhijit: Item Completed

3. Abhijit Bagde to follow-up with Caltrans Local Assistance for update on HR 244, appropriation bill that contains proposed nationwide rescission (<https://www.whitehouse.gov/legislation/hr-244-consolidated-appropriations-act-2017>), and inform CFPG

Caltrans – Abhijit: Item Completed

6. FFY 2017-18 Appropriations Bill – Proposed Rescission (Daniel Burke, CT Local Assistance)

Daniel informed that group of the proposed \$800million federal rescission, that will take a snapshot of unobligated balances as of 9/30/2017. Actual federal rescission is anticipated to happen on 11/30/2017. Similar to the May 5 Omnibus Bill, certain programs are exempt from rescission, however, RSTP Flex (STBGP), CMAQ, TAP and Bridge may take another hit.

The May 5 Omnibus Bill included \$857M rescission of unobligated balances as of May 31, 2017.

California share was \$88.7M (10.4%) and of this amount local share was around \$30 million. Caltrans Local Assistance anticipates less impact of from the proposed rescission to local programs since there are a lot of projects waiting for obligation from August Redistribution.

Jose Luis Caceres (SACOG) commented that one way to reduce rescission impact on CMAQ unobligated balances is to loan/borrow of unobligated apportionments through an MOU between regions. This current practice benefits small regions to pool their money for a larger eligible project in the future; and big regions to deliver early. He told group that SACOG is a willing borrower. Jose Luis volunteered to be contacted for questions regarding loan/borrowing MOU.

Maria Lopez (SCAG) commented that CMAQ should be given a priority for the August redistribution since CMAQ seems to have a large share of unobligated balance. Group agreed.

On another note, Jose Luis mentioned that he is working with Daniel Burke on the possibility of loaning/borrowing of RSTP Urban funds. Currently these funds are exempt from rescission.

7. Phase in requirements for the Planning and Performance Management Regulations under MAP-21 and FAST Act (Wade Hobbs, FHWA)

Wade Hobbs (FHWA) presented the handout that was sent out prior to the meeting. Wade noted that this handout is a guidance prepared by CADO. It includes FTA information, but cautioned that FTA may have different sets of requirements and deadlines.

Notably, after May 27, 2018, FHWA will be reviewing FTIP/FSTIP amendments to the 2017 FSTIP/FSTIP according to the provisions and requirements of the new performance based Planning and Performance Management regulations. MPOs should be prepared to address and discuss how these requirements are being met in the amendment. Wade suggested that MPOs should start documenting their performance-based planning and implementation processes.

Muhaned Aljabiry (OFTMP) asked, "How would this new requirement impact the current 2017 FTIP/FSTIP that is good until Dec 2018?" Wade suggested that the group compose a "statement on how MPOs/State are meeting this new requirement." This can be inserted in the amendment letters submitted after May 27, 2018.

For the 2019 FTIP/FSTIP, MPOs/State should include discussion of the phase in requirements in the development of their FTIPs/FSTIP. This could be an agenda item for the upcoming 2019 FTIP/FSTIP Development Workshop.

FHWA requested that FTA provide updated information on the status of the FTA requirements, which FTA provided after the meeting. Currently, FTA's requirements for a Public Transportation Agency Safety Plan are not in effect and FTA has not published a Final Rulemaking requiring transit providers to develop the Public Transit Agency Safety Plans and provide them to MPO's. Consequently, the information on the Fact Sheet is not current. Agencies should refer to FTA's guidance on the FTA Performance Based Planning web-page.

8. Active Transportation Program – Update (Teresa McWilliam, CT Local Assistance)

Teresa McWilliam reported that Emily Abrahams is Kevin Atkinson's replacement (Kevin retired). At the Aug CTC meeting, CTC approved 3 project from the ATP GHG Reduction Funds Program.

With SB1 augmentation for ATP, CTC told agencies to advance projects that did not get funding from Cycle 3 (mainly projects with score of 70 and higher). On Aug 31, 2017, CTC staff recommendation

for Statewide and Small Urban & Rural components will be posted and to be adopted by the CTC at its October 2017 meeting. The MPO ATP augmentation component is due to CTC staff on Oct 19, 2017 and adoption at the December 2017 meeting.

ATP Cycle 4 is planned for Spring 2018.

Jose Luis (SACOG) commented that it would be nice to have a listing of all ATP projects instead of having the projects listed by Cycle. Teresa said that this is possible. Michelle Smith (SANDAG) added that a consolidated listing can be requested from the Caltrans District.

9. Programming of SHOPP projects funded from the SB-1 (Muhaned Aljabiry, CT Federal Programming)

Muhaned (OFTMP) reported that the MPOs will receive requests from the Districts to program SHOPP projects for PE phase only. These projects are 2018 SHOPP candidate projects that are being advanced due to passage of SB 1, and were approved at the August CTC meeting. Bruce De Terra (Chief, Division of Transportation Programming) said that there will be more to be advanced at the October CTC meeting.

There was a lot of discussion regarding streamlining the FTIP/FSTIP programming. Due to the passage of SB1, there is an immediate need to start work on phases for SHOPP projects. Currently, all project phases for a SHOPP project, are programmed in the year of delivery. FTIP/FSTIP programming follows the same programming methodology. There were numerous suggestions as to when and how to program SHOPP projects.

It was suggested to have a SHOPP Workshop – focus on FTIP/FSTIP programming.

Monica Kress (CT Project Management) recommend that we do this workshop with Single Focal Point staff. Jose Luis (SACOG) volunteered to participate in the workshop. Abhijit (OFTMP) will coordinate with SHOPP Office and will evaluate on how to proceed.

Abhijit mentioned that projects funded from SB-1 shall be programmed with “SHOPP-AC” fund type in the CTIPS.

10. MAP-21 Performance Management – Update (Nicholas Deal, CT Planning)

Nick announced that Performance Management Target Setting Workshop for PM2 and PM3 is scheduled for Aug 31, 2017, at the Holiday Inn, in Sacramento. Nick will send the notice to Abhijit. He will be leading the PM3 target setting workshop in the afternoon with the goal of forming an advisory group comprised of Modelers and Planners from MPOs, ARB, RTPA and State.

11. Follow up items

1. Email Abhijit Bagde if interested in taking CFPG meeting minutes by Friday, Aug 25.
2. Abhijit to send Aug 31, PM2 and PM3 Workshop Invite to group
3. RSTP Urban and CMAQ Loan MOUs – contact Jose Luis
4. SHOPP FTIP/FSTIP Streamlining – Abhijit to coordinate meeting with SHOPP Office

Open Forum:

Future Agenda Items:

12. Future Meeting Dates and Locations:

Oct 10, 2017 – SACOG

Nov 28, 2017 – MTC

Handout No. 1 for Item No. 7:

Phase in Requirements for Metropolitan Plans and FTIP Approvals under MAP-21 and the FAST-Act:

Prior to May 27, 2018:

- MPOs may adopt a metropolitan transportation plan [or amendment] that has been developed using either the SAFETEA-LU requirements or the provisions and requirements of 23 CFR part 450.
- FHWA/FTA may determine the conformity of, or approve as part of the FSTIP, a FTIP that has been developed using SAFETEA-LU requirements or the provisions and requirements of 23 CFR 450.
- Prior to the two-year phase-in date (May 27, 2018) of the *Highway Safety Improvement Program Final Rule* that established highway safety performance measures under 23 U.S.C. 150(c), MPOs may adopt a metropolitan transportation plan that has been developed using the SAFETEA-LU requirements or the performance-based planning requirements of 23 CFR Part 450 and in the Final Rule for the Highway Safety Improvement Program.

After May 27, 2018:

- MPOs may not adopt a metropolitan transportation plan that has not been developed according to the provisions and requirements of 23 CFR Part 450.
- FHWA/FTA may only determine the conformity of, or approve as part of a STIP, a TIP that has been developed according to the provisions and requirements of 23 CFR Part 450, regardless of when the MPO developed the TIP.
 - FHWA and the FTA may take an action (*i.e.*, conformity determinations and STIP approvals) on an updated or amended TIP developed under the provisions of 23 CFR 450, *even if the MPO has not yet adopted a new metropolitan transportation plan under the provisions of 23 CFR 450*, provided the underlying transportation planning process is consistent with the requirements in the MAP-21.
 - MPOs may make administrative modifications to a TIP that conforms to either the SAFETEA-LU or to the provisions and requirements of 23 CFR Part 450.
- After the two-year phase-in date (May 27, 2018) of the Highway Safety Improvement Program Final Rule that established highway safety performance measures under 23 U.S.C. 150(c), MPOs may only adopt a metropolitan transportation plan that has been developed according to the performance-based provisions and requirements of 23 CFR Part 450 and the Final Rule for the Highway Safety Improvement Program.

After September 12, 2018:

- After the two-year phase-in date (September 12, 2018) of the Public Transportation Safety Program Final Rule that established the Public Transportation Safety performance measures under 49 U.S.C. 5329, MPOs may only adopt a metropolitan transportation plan that has been developed per the performance-based provisions and requirements of 23 CFR Part 450 and in the Final Rules for the Public Transportation Safety Program and the Highway Safety Improvement Program.

After October 1, 2018:

- After the two-year phase-in date (October 1, 2018) of the Transit Asset Management Final Rule that established the Transit Asset Management performance measures under 49 U.S.C. 5326, MPOs may only adopt a metropolitan transportation plan that has been developed according to the performance-based provisions and requirements of 23 CFR Part 450 and in

the Final Rules for Transit Asset Management, Public Transportation Safety Program and the Highway Safety Improvement Program.

After May 20, 2019:

- After the two-year phase-in date (May 20, 2019) of the *Pavement and Bridge Condition Performance Measures (PM#2) Final Rule* and the *System Performance, Freight and CMAQ Performance Measures (PM#3) Final Rule* that established performance management measures under 23 U.S.C. 150(c), MPOs may only adopt a metropolitan transportation plan that has been developed according to the performance-based provisions and requirements of 23 CFR Part 450 and in the Final Rules for the: Pavement and Bridge Condition Performance Measures (PM#2); System Performance, Freight and CMAQ Performance Measures (PM#3); Transit Asset Management; Public Transportation Safety Program; and the Highway Safety Improvement Program Performance Measures (PM#1).

What do the phrases “...developed according to the provisions and requirements of 23 CFR Part 450.” and “...as long as the underlying transportation planning process is consistent with the requirements in the MAP-21.” mean?

These phrases relate to how the MPOs and State carries out a continuous, cooperative, and comprehensive transportation planning process that meets the requirements of 23 CFR Part 450. This includes, but is not limited to:

- Addressing the planning factors in 23 CFR 450.206(a) and 450.306(b)
- Supporting FHWA’s national goals in 23 U.S.C. 150(b)
- Support FTA’s general purposes in 49 U.S.C. 5301
- Involving interested parties through a documented public involvement process
- Developing PBPP agreements between the State, MPOs and public transportation providers: specifically, the MPO(s), State(s), and the providers of public transportation shall *jointly agree upon and develop specific written provisions for cooperatively developing and sharing information* related to:
 - transportation performance data,
 - the selection of performance targets,
 - the reporting of performance targets,
 - the reporting of performance to be used in tracking progress toward attainment of critical outcomes for the region of the MPO (see §450.306(d)), and
 - the collection of data for the State asset management plans for the NHS.These provisions shall be documented either as part of the metropolitan planning agreements or in some other means outside of the metropolitan planning agreements as determined cooperatively by the MPO(s), State(s), and providers of public transportation.
- Coordinating between the State, MPOs and public transportation providers on the selection of performance targets
- Integrating the goals, objectives, performance measures, and targets from other performance-based plans and processes into the statewide and nonmetropolitan transportation planning processes, including, but not limited to:
 - Highway Safety Improvement Program (HSIP)
 - Strategic Highway Safety Plan (HSP)
 - State Asset Management Plan for the National Highway System (NHS)
 - State Freight Plan (if the State has one)
 - Transit Asset Management (TAM) Plan
 - Public Transportation Agency Safety Plan